UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No.: 2001-1521A

First Named Inventor: Tetsuji TOGAWA et al.

SUBSTRATE HOLDING APPARATUS

(Only for new nonprovisional applications under 37 CFR 1.53(b)

Express Mail Label No.:

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

Assistant Commissioner for Patents ADDRESS TO: **Box Patent Application**

Washington, D.C. 20231

1. [X] Fee Transmittal Form (Submit an original, and a duplicate for fee processing)

7. [] CD-ROM or CD-R in duplicate, large table or computer o program (Appendix)

2. [] Small Entity Status is hereby asserted.

8. [] Nucleotide and/or Amino Acid Sequence Submission

(if applicable, all necessary)

a. [] Computer Readable Form

b. Specification Sequence Listing on:

i. [] CD-ROM or CD-R (2 copies); or

ii. [] Paper

c. [] Statement verifying

identity of above copies

3. [X] Specification

[Total Pages: 70]

(preferred arrangement set forth below) Descriptive title of the Invention

Cross References to Related Applications

- Statement Regarding Fed sponsored R & D

Reference to Sequence Listing, a table, or a computer program listing appendix.

Background of the Invention

Brief Summary of the Invention

Brief Description of the Drawings (if filed)

Detailed Description

4. [X] Drawing(s) (35 USC 113)

Claim(s)

Abstract of the Disclosure

9. [X] Assignment Papers (cover sheet & document(s)) 37 CFR 3.73(b) Statement (when there is an assignee)

[] Power of Attorney

ACCOMPANYING APPLICATION PARTS

11. [] English Translation Document (if applicable)

12. [X] Information Disclosure Statement (IDS)/PTO-1449 [X] Copies of IDS Citations

Preliminary Amendment

14. [X] Return Receipt Postcard (MPEP 503) (Should be specifically itemized)

15. [X] Certified Copy of Priority Document(s)

(if foreign priority is claimed) 16. [] Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.

17. [] Other

[X] Oath or Declaration

[Total Pages: 4] a.1. [X] Newly executed (original or copy)

a.2. [] Unexecuted

[] Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed)

i. [] DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6. [] Application Data Sheet (see 37 CFR 1.76)

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below, and in a preliminary amendment, or in an Application Data Sheet :

[] Continuation

[] Divisional

[] Continuation-in-part (CIP)

[Total Sheets: 11]

of prior application No.

Prior Application Information: Examiner

Group Art Unit

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference therein. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS



000513

PATENT TRADEMARK OFFICE

Registration No. 33,145

WENDEROTH, LIND & PONACK, L.L.P. 2033 "K" Street, N.W., Suite 800 Washington, D.C. 20006-1021

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TO CHARGE ANY DEFICIENCY IN THE TIS FIRE THIS PAPER TO DEPOSIT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

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Tetsuji TOGAWA et al.

Filed October 11, 2001

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Attn: APPLICATION BRANCH

Serial No. NEW

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Attorney Docket No. 2001-1521A

SUBSTRATE HOLDING APPARATUS

PATENT OFFICE FEE TRANSMITTAL FORM

Assistant Commissioner for Patents, Washington, DC 20231

Sir:

Attached hereto is a check in the amount of \$2124.00 to cover Patent Office fees relating to filing the following attached papers:

Assignment for Recordal \$40.00

Additional Claims Fee:

Excess of Twenty \$\frac{\$756.00}{\$588.00}\$

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.

THE COMMISSIONER IS AUTHORIZED TO CHARGE ANY DEFICIENCY IN THE FEES FOR THIS PAPER TO DEPOSIT ACCOUNT NO. 23-0975

Respectfully submitted,

Tetsuji TOGAW

By

Nils E. Pedersen

Registration No. 33,145 Attorney for Applicants

NEP/krl WENDEROTH, LIND & PONACK, L.L.P. 2033 K St., N.W., Suite 800 Washington, D.C. 20006-1021 Telephone (202) 721-8200 October 11, 2001

[Check No. 46907

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